

Theory of the Deconfinement Transition and its Signatures - Lecture 3 -

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Outline

Lecture 1: Brief introduction

Lecture 2: Interacting quarks and gluons

- Polyakov-loop model: deconfinement

- Nambu--Jona-Lasinio model: chiral SB

Lecture 3: Critical behaviors

- Phase transition and the Landau theory

- Fluctuations of conserved charges

QCD with $N_f=2,3$

□ $SU(N_f)_L \times SU(N_f)_R$ chiral symmetry

- Vanishing quark mass: **exact** symmetry
- Finite quark mass: **approximate** but still reliable
- Quark condensate $\langle \bar{q}q \rangle$: **order parameter**

□ Hot/dense QCD

- QCD partition function

$$Z = \text{tr} \left[e^{-\left(H_{\text{QCD}}(m_q=0) + \bar{q}(m_q - \mu_q \gamma_0)q \right) / T} \right] = e^{P(T, \mu)V/T}$$

- Quark condensate

$$\langle \bar{q}q \rangle = -\frac{\partial P(T, \mu)}{\partial m_q}$$

[Details of low-T calc. see Gerber & Leutwyler ('89)]

Dropping quark-condensate

□ High-temperature sector: $P(T) = P_q(T) + P_g(T)$

$$P_{\text{quark}}(T; m_q) = 4N_c \int \frac{d^3k}{(2\pi)^3} T \ln (1 + e^{-E_q(k)/T})$$

$$\underset{m_q/T \ll 1}{\simeq} \frac{7N_c}{2} \left[\frac{\pi^2}{90} T^4 - \frac{1}{42} m_q^2 T^2 - \frac{m_q^4}{56\pi^2} \left(\ln \left(\frac{m_q^2}{(\pi T)^2} + C \right) \right) + \dots \right]$$

→ $\langle q\bar{q} \rangle = 0$ at $T = \infty$ and $m_q = 0$.

□ Low-temperature sector: $P(T) = P_\pi(T)$

GOR

$$\frac{\langle \bar{q}q \rangle_{\text{med}}}{\langle \bar{q}q \rangle_{\text{vac}}} \stackrel{\downarrow}{=} 1 + \frac{1}{f_\pi^2} \frac{\partial P_\pi(T)}{\partial m_\pi^2} \quad \text{Condensate decreases with T!}$$

$$= 1 - \frac{T^2}{8f_\pi^2} - \frac{1}{6} \left(\frac{T^2}{8f_\pi^2} \right)^2 - \frac{16}{9} \left(\frac{T^2}{8f_\pi^2} \right)^3 \ln \left(\frac{\Lambda_q}{T} \right) + \mathcal{O}(T^8)$$

Chiral phase transition

□ Sketch: Nf=2 NJL model at finite T

$$V(\sigma) = \frac{\sigma^2}{4G} - \frac{1}{16\pi^2} \left[(\Lambda^4 - \sigma^4) \ln \left(1 + \frac{\sigma^2}{\Lambda^2} \right) + \sigma^2 \Lambda^2 \right]$$

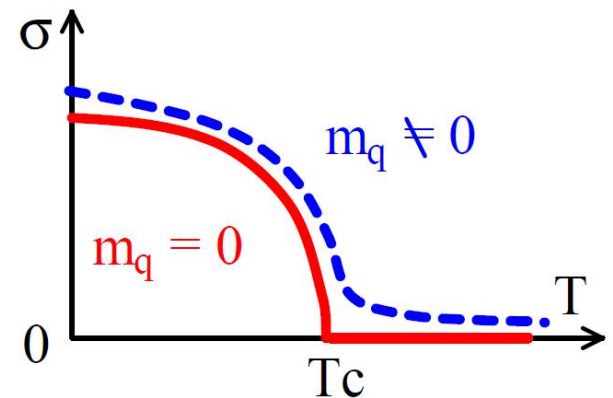
$$\Omega = V(\sigma) + 2\gamma_q T \int \frac{d^3p}{(2\pi)^3} \ln (1 - n(p, T))$$

spin × flavor × color = 12

$$(1 + \exp[E/T])^{-1}$$

□ 2nd order transition if m_q=0

$$T_c^2 = \frac{1}{2G_{cr}} - \frac{1}{2G}$$



1. Landau theory

General theory for a phase transition

□ Partition function in the thermodynamic limit

$$Z = e^{-\Omega(K)} = e^{P(K)V}$$

- $1/T$ absorbed in the def. of Ω and P
- K : a set of parameters, e.g. T , μ , g , external fields

□ Order of the phase transition

$$\frac{\partial P(K)}{\partial K} \begin{cases} \text{discontinuous} & \text{1st order} \\ \text{continuous} & \text{2nd order} \end{cases}$$

General theory for a phase transition

Landau potential of an order parameter $\sigma(x)$

$$Z = \int \mathcal{D}\sigma e^{-S_{\text{eff}}(\sigma(x); K)}$$


□ Mean field approximation

- The integral is dominated by a minimum of S_{eff} .
- Fluctuations around the minimum are neglected.
- Uniform system: σ as x -indep. order parameter
→ $S_{\text{eff}} = V_{\text{eff}}(\sigma; K)V$

□ Expanding V_{eff} into a power series of σ ;

$$V_{\text{eff}}(\sigma; K) = \sum_n a_n(K) \sigma^n$$

[Table adapted from Yagi, Hatsuda and Miake, “Quark-Gluon Plasma”]

V_{eff}	spin system in $d = 3$	QCD
2nd order $\frac{a}{2}\sigma^2 + \frac{b}{4}\sigma^4 - h\sigma$ controlled by (a, h)	Ising model $\sigma \sim M$ Magnetization $(a, h) \leftrightarrow (T, H)$	$N_c = 3, N_f = 2$ $\sigma \sim \langle \bar{u}u + \bar{d}d \rangle$ $(a, h) \leftrightarrow (T, m_q)$
	 External magnetic field	$N_c = 2, N_f = 0$ $\sigma \sim \langle \Phi \rangle$ $(a, h) \leftrightarrow (T, 1/m_Q)$
1st order $\frac{a}{2}\sigma^2 - \frac{c}{3}\sigma^3 + \frac{b}{4}\sigma^4 - h\sigma$ controlled by (a, h)	Potts model $\sigma \sim M$ $(a, h) \leftrightarrow (T, H)$	$N_c = 3, N_f = 3$ $\sigma \sim \langle \bar{u}u + \bar{d}d + \bar{s}s \rangle$ $(a, h) \leftrightarrow (T, m_q)$
		$N_c = 3, N_f = 0$ $\sigma \sim \langle \Phi \rangle$ $(a, h) \leftrightarrow (T, 1/m_Q)$

2nd order phase transition

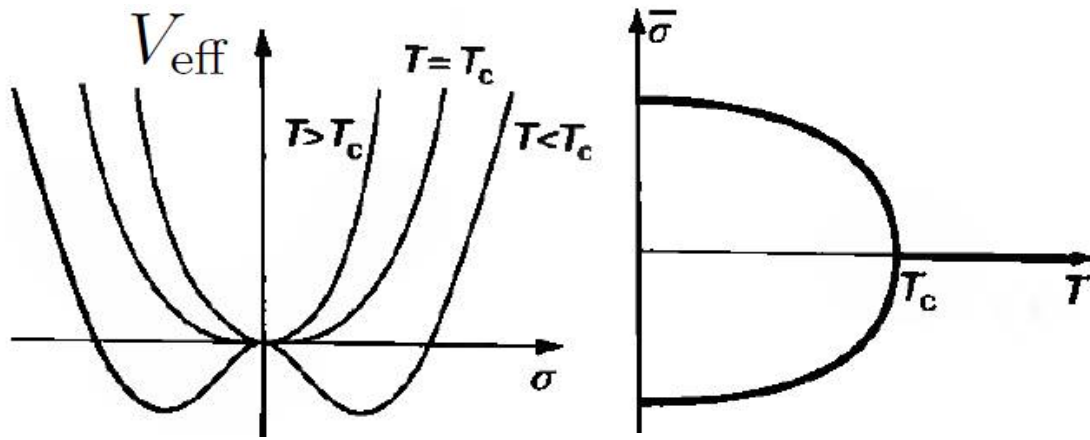
- Landau potential truncated at $n=4$ & w/o $n=3$

$$V_{\text{eff}} = \frac{1}{2}a\sigma^2 + \frac{1}{4}b\sigma^4 - h\sigma$$

$$a = a_t t = a_t(T - T_c)/T_c, \quad a_t > 0, \quad b > 0, \quad h \geq 0$$

- Stationary condition

$$\frac{\partial V_{\text{eff}}}{\partial \sigma} = a\sigma + b\sigma^3 - h = 0 \Rightarrow \bar{\sigma}|_{h=0} = \begin{cases} 0 & (T \geq T_c) \\ \pm(-a/b)^{1/2} & (T < T_c) \end{cases}$$



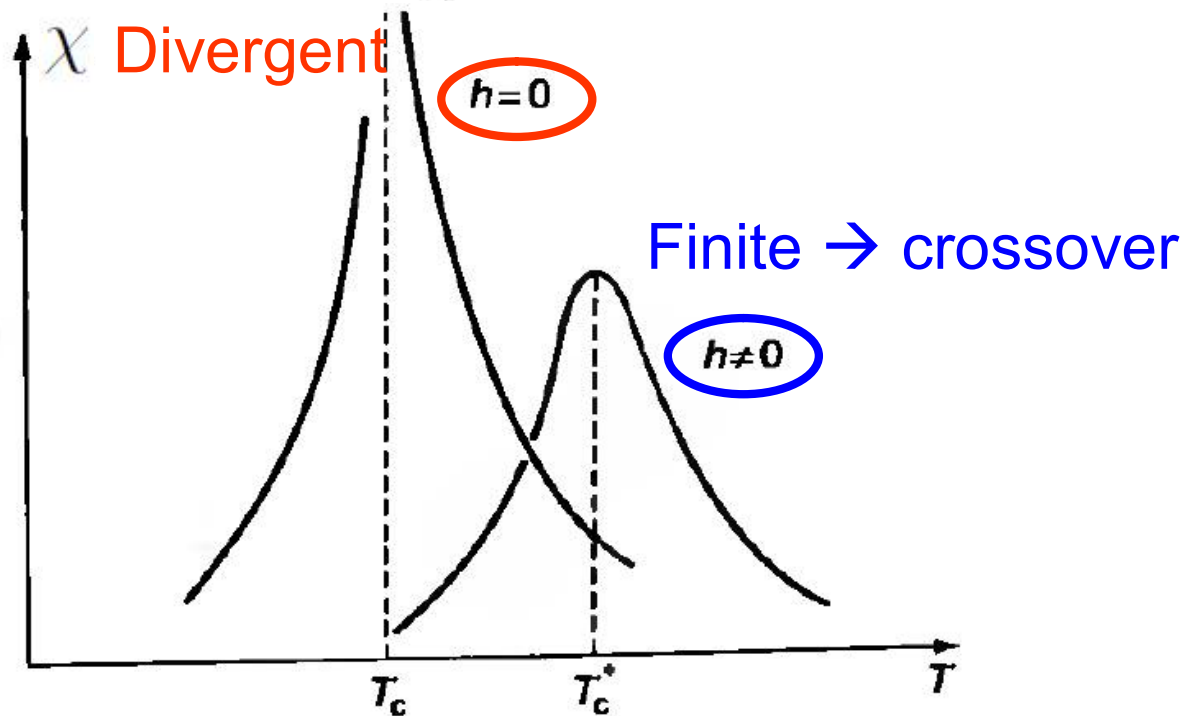
$h \neq 0 \rightarrow$ crossover

$$\bar{\sigma}(T = T_c) = (h/b)^{1/3}$$

2nd order phase transition

□ Susceptibility of the order parameter

$$\chi(T, h)|_{h=0} = \frac{\partial \bar{\sigma}}{\partial h} \Big|_{h=0} = \begin{cases} 1/a \sim |t|^{-1} & (T \geq T_c) \\ 1/(-2a) \sim |t|^{-1} & (T < T_c) \end{cases}$$



Critical exponents

□ Quantities sensitive to the phase transition

$$\bar{\sigma}(T \rightarrow T_c^-, h = 0) \sim |t|^\beta,$$

$$C_V(T \rightarrow T_c^\pm, h = 0) = -T \frac{\partial^2 V_{\text{eff}}}{\partial T^2} \Big|_{h=0} \sim |t|^{-\alpha_\pm},$$

$$\bar{\sigma}(T = T_c, h \rightarrow 0) \sim h^{1/\delta},$$

$$\chi(T \rightarrow T_c^\pm, h = 0) \sim |t|^{-\gamma_\pm}$$

□ Mean field theory

$$\alpha_\pm = 0, \quad \beta = 1/2, \quad \gamma_\pm = 1, \quad \delta = 3$$

Critical exponents

□ Mean field theory

- Independent of details of underlying dynamics
- Crucial conditions: no cubic term & positive b

□ Beyond the Landau theory

- Fluctuations around the mean field
 - 2nd order may become either 1st order or crossover
 - 2nd order: different critical exponents from MF
 - $O(4)$: $\alpha = -0.24$, $\beta = 0.38$, $\gamma = 1.4$, $\delta = 4.7$
 - vs. MF: $\alpha = 0$, $\beta = \frac{1}{2}$, $\gamma = 1$, $\delta = 3$
- Spatial dimensions, internal symmetry

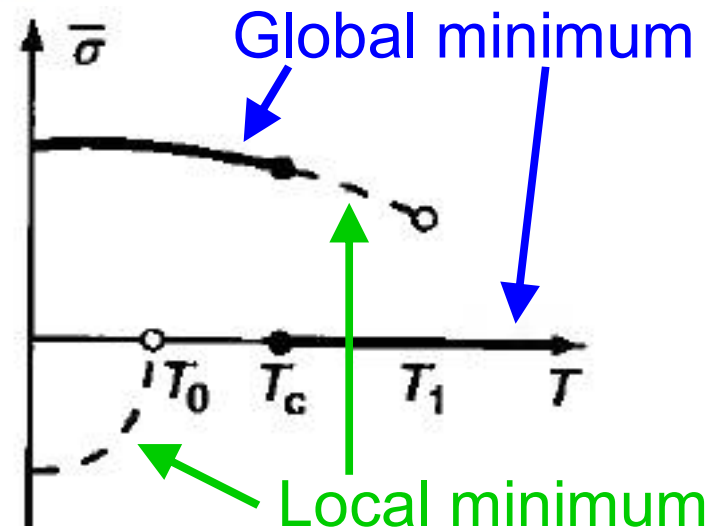
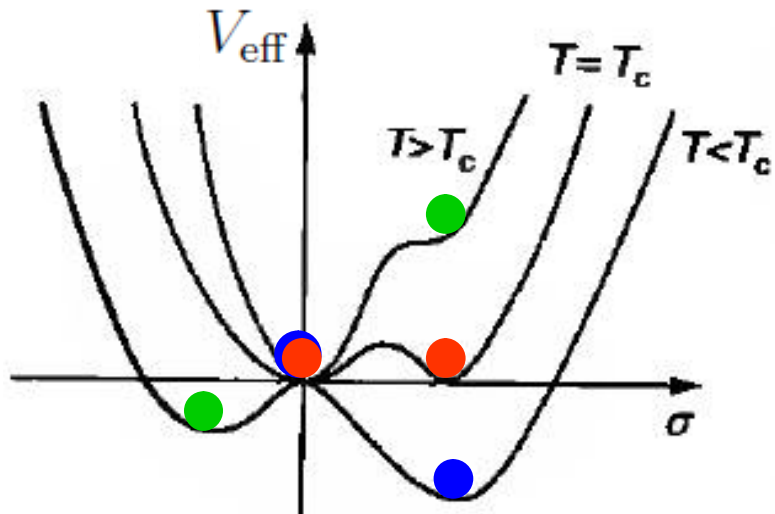
1st order phase transition

□ Landau potential truncated at $n=4$

$$V_{\text{eff}} = \frac{1}{2}a\sigma^2 - \frac{1}{3}c\sigma^3 + \frac{1}{4}b\sigma^4 - h\sigma$$

$$a = a_t t = a_t(T - T_0)/T_0, \quad a_t > 0, \quad b > 0, \quad c > 0, \quad h \geq 0$$

$$h=0 \rightarrow \bar{\sigma} = 0, \quad \frac{c \pm \sqrt{c^2 - 4ab}}{2b}$$



Tricritical point

Landau potential truncated at $n=6$ w/o $n=3,5$

$$V_{\text{eff}} = \frac{1}{2}a\sigma^2 + \frac{1}{4}b\sigma^4 + \frac{1}{6}c\sigma^6 - h\sigma, \quad c > 0$$

$$a = a_t t + a_s s, \quad b = b_t t + b_s s, \quad t = (T - T_c)/T_c, \quad s = (\mu - \mu_c)/\mu_c$$

$$\bar{\sigma} = 0, \quad \frac{-b \pm \sqrt{b^2 - 4ac}}{2c}$$

TCP: $a = b = 0$

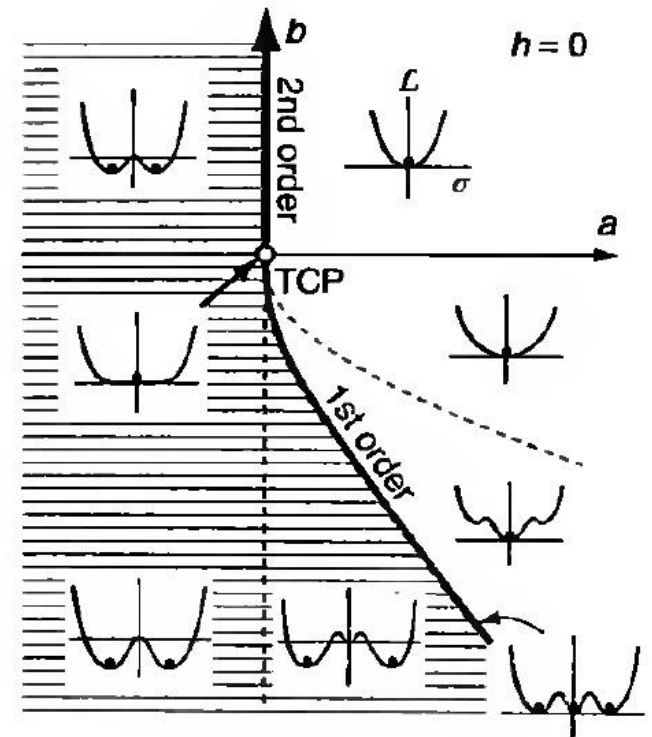
2nd order: $b > 0, a = 0$

1st order:

$$b < 0, a = 3b^2/16c$$

Metastable: $a = 0, b < 0$

$$\text{and } a = b^2/4c, b < 0$$



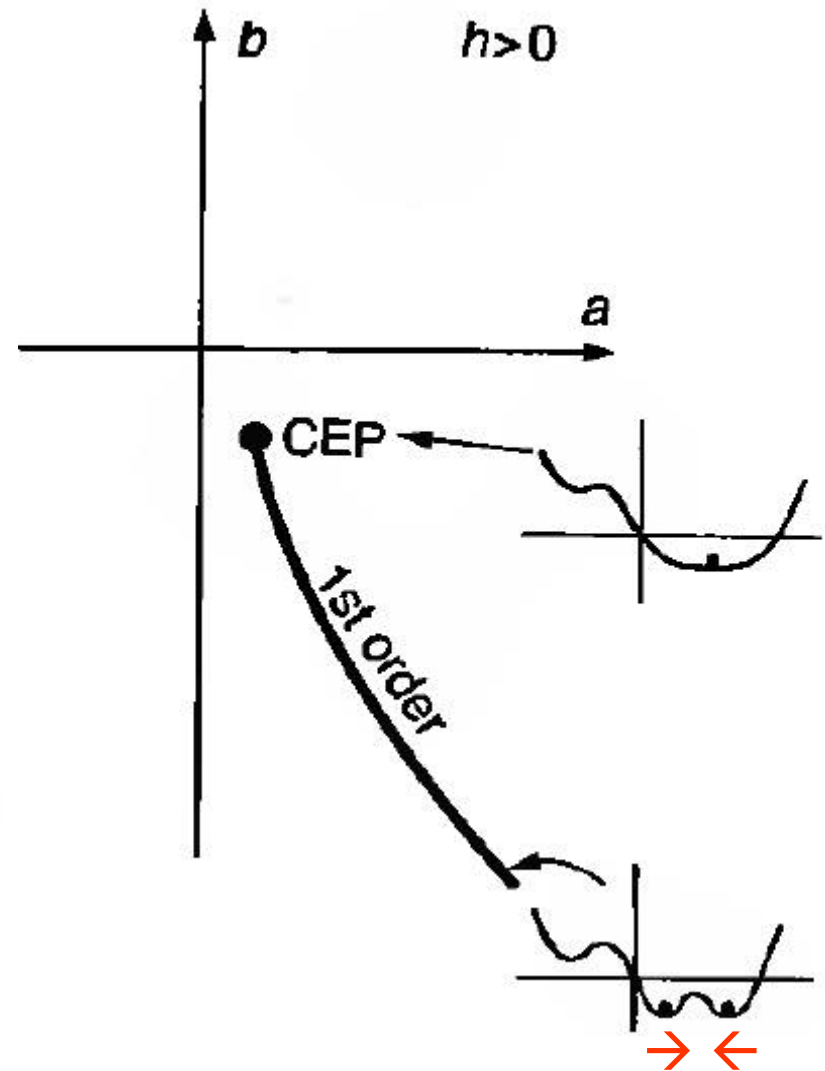
Critical (end) point

- When $h \neq 0$,
 - 2nd order line disappear.
 - 1st order line shifts.

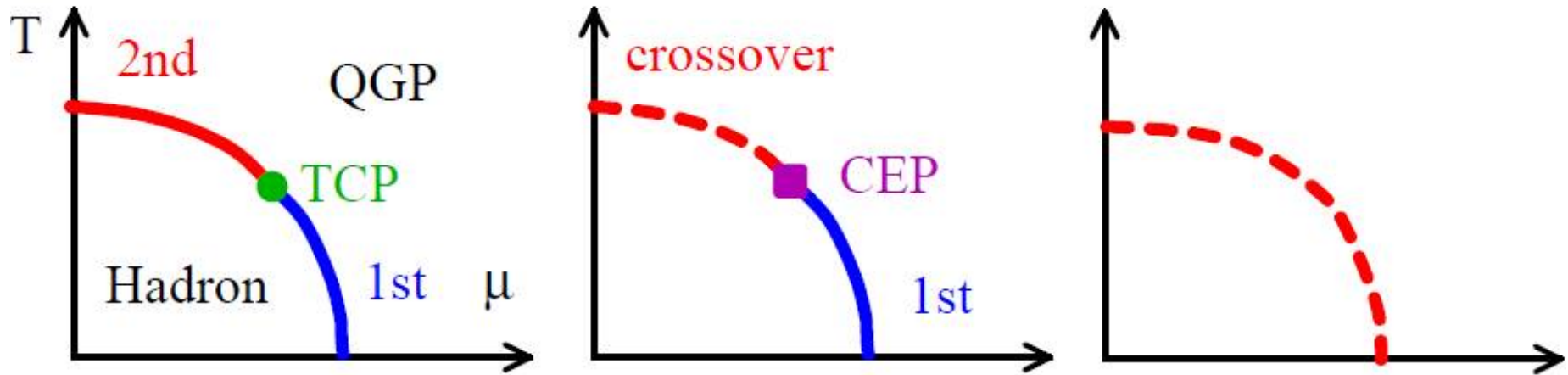
□ CEP:

flat effective-potential

$$\frac{\partial^n V_{\text{eff}}}{\partial \sigma^n} = 0 \quad (n = 1, 2, 3)$$



QCD phase diagram



- ❑ Location of TCP/CEP: not established
- ❑ 1st order at large μ :not established
- ❑ No CEP as an option of QCD
- ❑ (much more?) complicated phase diagram

[For more details, Fukushima and CS (2013)]

2. Fluctuations of conserved charges

[Refs. Stephanov et al. (1999)]

Observables

Order parameter: $\langle q\bar{q} \rangle$ **not observable!**

□ Strategy

- Quantity to which σ mode couples
- Susceptibility as a good indicator of p.t.

□ Conserved charges: $X = \{\text{baryon number } B, \text{ electric charge } Q, \text{ strangeness } S, \text{ etc.}\}$

- Generalized susceptibilities and their ratios

$$\chi_n^X = \frac{1}{VT^3} \frac{\partial^n \ln Z}{\partial (\mu_X/T)^n} \quad R_{n,m}^X = \frac{\chi_n^X}{\chi_m^X}$$

 No volume factor!

[Refs. Ejiri et al. (2006), Skokov et al. (2010)]

Signatures of deconfinement

□ Sensitive to chiral transition: O(4) criticality

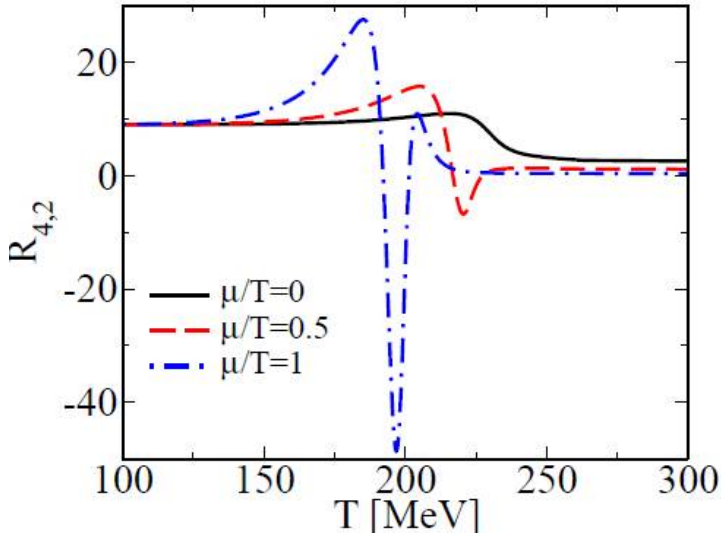
- At $\mu = 0$: $\chi(B, n)$ for even $n > 4$
- At $\mu \neq 0$: $\chi(B, n)$ for $n > 2$

$$\mu_i = \mu_B B_i$$

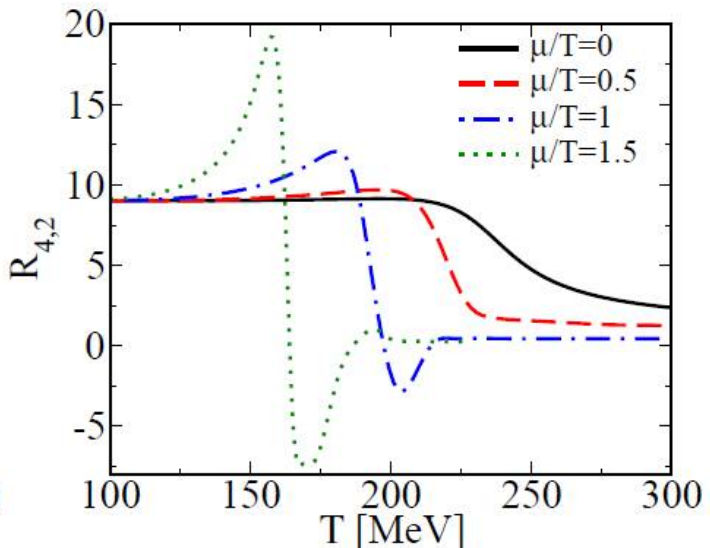
□ Sensitive to deconf. transition: R(4,2) w/ $X=B$

- At low T: $R = (3B)^2 = 9 \leftarrow$ Boltzmann approx.
- At high T: $R = (3B)^2 = 1 \leftarrow$ Stefan-Boltzmann

MF

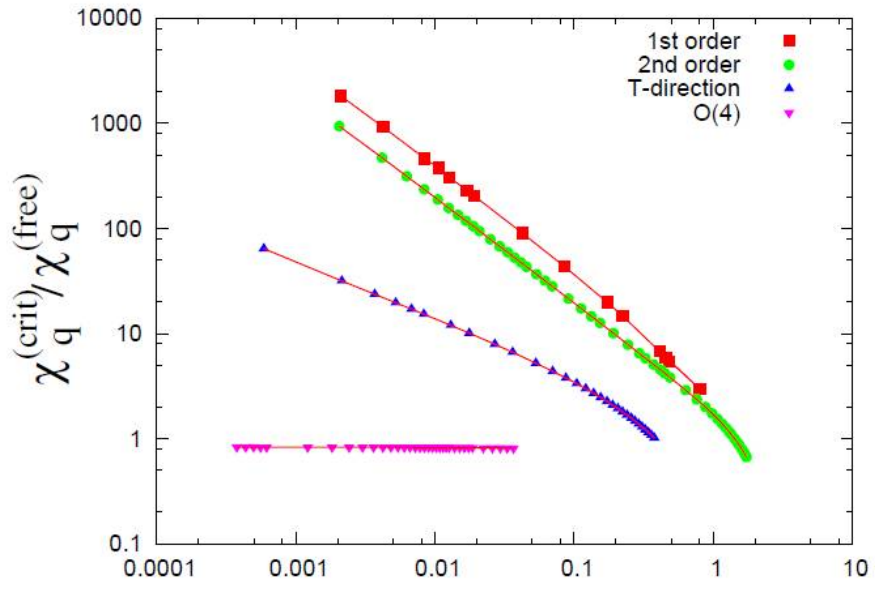


FRG

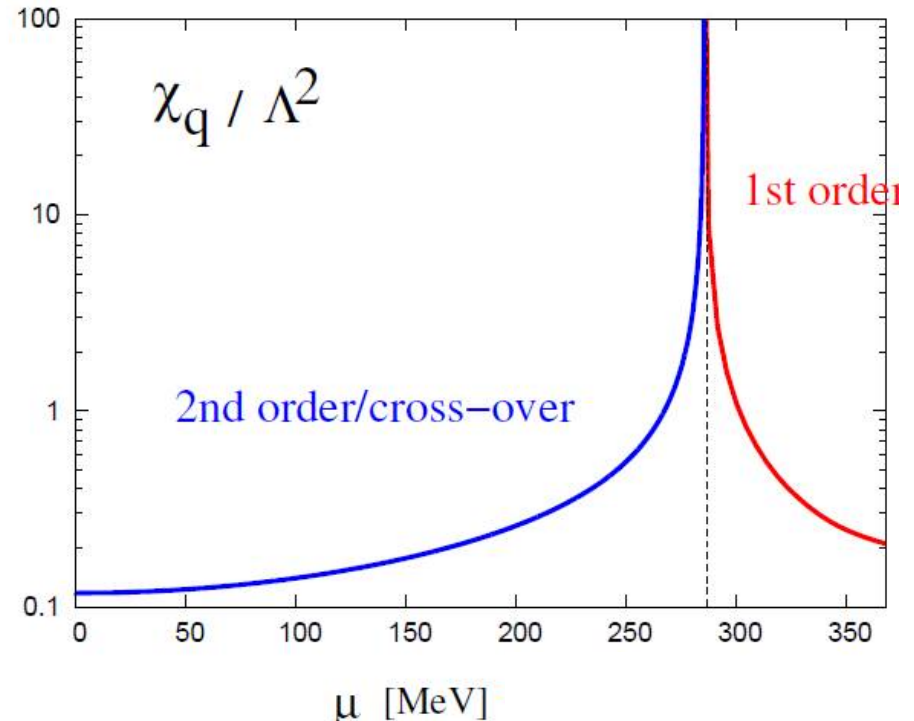
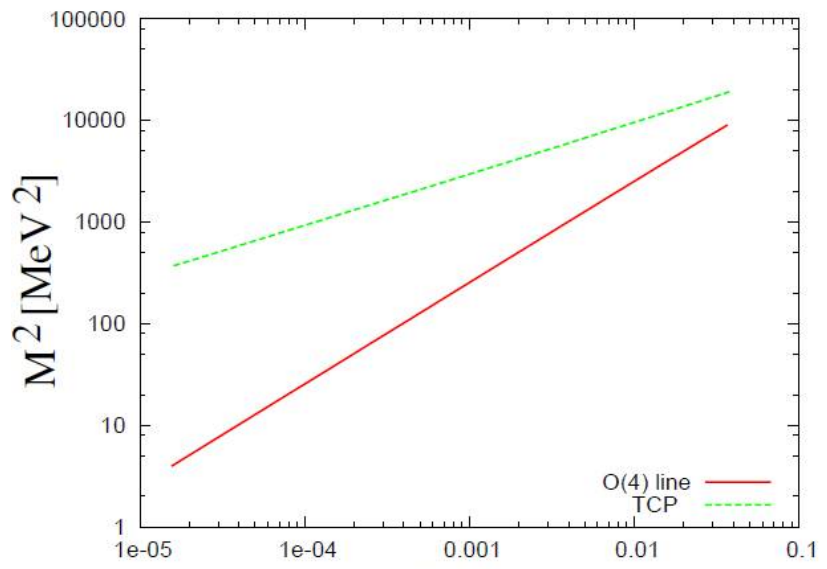


[Refs. Halasz et al. ('98), Berges and Rajagopal ('99)]

Signatures of QCD CEP



- ✓ Critical exponent changes.
 - ✓ Landau theory $\chi_B \sim M^2 \chi_{ch}$
 - ✓ Effective models, SD eq.
- [Figs: illustrations w/ MF NJL]



Summary

❑ *Schematic* models --- how much useful?

- Intuitive, systematic, guided by symmetries
- Capture the essential physics

❑ You must ask the *right* question!

- Case study under possible options/conditions
- Extract characteristic properties
- Do not quantify e.g. CEP location!

❑ Towards more *realistic* situations: dynamics, finite volume, rapidity

Good baseline!

